



PATENT 5181-66200/P5019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/660,005 Filed: September 12, 2000 Inventors: Thomas E. Saulpaugh	0000000	Examiner: Phan, Tam T. Group/Art Unit: 2144 Atty. Dkt. No: 5181-66200 Thereby certify that this correspondence is being deposited withe United States Postal Service with sufficient postage as f class mail in an envelope addressed to Commissioner Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on date indicated below.				
Gregory L. Slaughter Michael J. Duigou Title: PRE-GENERATED	\$\phi\$ \$\phi\$ \$\phi\$					
MESSAGE ENDPOINTS	\$ \$ \$ \$ \$ \$ \$ \$ \$		Printed Name Feb. 9 2005 Date			
<u>INFORMATION D</u>	ISCLO	OSURE STATEM	ENT			
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						

Sir:

	Applica	ant requests consideration of \(\square\) the references listed on the attached Form PTO-
1449 a	nd/or 🔲	the additional information identified below in paragraph 3.
	\boxtimes	A copy of each required reference listed on the Form PTO-1449 is enclosed.
		The references listed on the Form PTO-1449 were previously cited in application serial no to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.
l.	This In	formation Disclosure Statement is submitted:
	a.	within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
		within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
		before the mailing date of a first Office Action on the merits; or

	b.		before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or \infty a fee of \$180.00.
	c.		after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2.	It is he	reby cer	tified:
		was ci	ch item of information contained in this Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application not more than three (3) months prior to the filing of the ent; or
		was ci foreign after m	item of information contained in the Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart application or, to the knowledge of the person signing the certification taking reasonable inquiry, was known to any individual designated in § more than three (3) months prior to the filing of the Statement.
		was ci applica designa	ch item of information contained in this Information Disclosure Statement ted in a communication from a foreign patent office in a counterpart ation and that this communication was not received by any individual ated in § 1.56(c) more than thirty (30) days prior to the filing of the ation disclosure statement;
3.			eration of the following additional information (including any co-pending adoned U.S. applications, prior uses and/or sales, etc.) is requested:
4.	For eac	ch non-E	inglish language reference listed on the attached Form PTO-1449:
		referen	ce is made to an English language translation submitted herewith, and/or
			ce is made to a foreign patent office search report (in the English ge) submitted herewith, and/or
			ce is made to an English language translation of a foreign patent office report submitted herewith, and/or
			ce is made to the concise explanation contained in the specification of the application at page(s), and/or
		referen	ce is made to the concise explanation set forth below:
5.		Applic	ant also offers the following comments for the Examiner's consideration:
5.		Also ei	nclosed is a copy of a foreign search report citing these references.

Application Serial No. 09/660,005 - Filed September 12, 2000

7.	The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8.	Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel PC, Deposit Account No. 501505/5181-66200/RCK.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P. O. Box 398 Austin, Texas 78767 (512) 853-8800

Date: Fel. 8, 2005

Form PTO-1449 (modified)

List of Patents and Publications
For Applicant's Information
Disclosure Statement

(Use several sheets if necessary)

ATTY. DOCKET NO: 5181-66200

SERIAL NO: 09/660,005



APPLICANT: Saulpaugh et al.

FILING DATE: September 12, 2000 GROUP: 2144

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	El	6,157,960	12/5/00	Kaminsky et al.			
	E2	5,956,509	9/21/99	Kevner			
	E3	6,134,603	10/17/00	Jones et al.			
	E4	6,408,342	6/18/02	Moore et al.			
	E5	6,104,716	8/15/00	Crichton et al.			
	E6	6,016,516	1/18/00	Horikiri			
	E7	6,654,793	11/25/03	Wollrath et al.			
	E8	6,263,379	7/17/01	Atkinson et al.		· · · · · · · · · · · · · · · · · · ·	
	E9	6,212,578	4/3/01	Racicot et al.			
	E10	5,999,988	12/7/99	Pelegri-Llopart et al.			
	Ell	6,282,568	8/28/01	Sondur et al.			
	E12	5,671,279	9/23/97	Elgamal			
	E13	6,185,611	2/6/01	Waldo et al.			
-	E14	5,548,726	8/20/96	Pettus			
	E15	6,216,158	4/10/01	Luo et al.			
	E16	2002-0059212	5/16/02	Takagi			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		Wobbler et al., "	Authentic	ation	in th	e Ta	os Op	erating	Syste	m," SIGOPS	3 '93,	Decembe	er 1993,	(pages 256-269)	1
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M. Satyanarayanan, "Integrating Security in a Large Distributed System," ACM Transactions on Computer Systems, Vol. 7, No. 3, August 1989, (pages 247-280)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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11	PTO-1449 (modified) tents and Publications SERIAL NO: 09/66	0,005					
For App	licant's Information						
ll .	losure Statement						
(Use sever	eral sheets if necessary)						
	APPLICANT: Saulpaugh et al.						
	FILING DATE: September 12, 2000 GROUP: 2	2144					
	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
E19	Andrew P. Black, "The Eden Project: Overview and Experiences," EUUG, September 22-25, 1986, (pa 177-189)	ges					
E20	Guy T. Almes, "The Evolution of the Eden Invocation Mechanism" Technical Report 83-01-03, January 1983, (15 pages)	y 19,					
E21	Beogle et al., "Transparent Sharing of Java [™] Applets: A Replicated Approach", IIST 97 Banff, 1997 (p 55-64)	ages					
E22	Jul et al., "Fine-Grained Mobility in the Emerald System,' ACM Transactions on Computer Systems, V No.1, February 1988, (pages 109-133)	⁷ ol. 6,					
E23	Andrew P. Black, "The Eden Programming Language," Technical Report 85-09-01, September, 1985, (pages)	(19					
E24	Eric Jul, "Object Mobility in a Distributed Object-Oriented System," Department of Computer Sciemce, 1989 (pages 1-155)						
E25	Israel et al., "Authentication in Office Systems Internetworks," ACM Transactions on Office System Information Systems, Vol. 1, No. 3, July 1983 (pages 193-210)						
E26	Scroeder et al., "Experience with Grapevine: The Growth of a Distributed System", ACM Transactions on Computer System, Vol. 2, No. 1, February 1984, (pages 3-23)						
E27	Tanenbaum et al., "Distributed Operating Systems," Computer Surveys, Vol. 17, No. 4, December 1985 (pages 419-470)	5,					
E28	Jennings et al., "Using Intelligent Agents to Manage Business Processes," (16 pages)						
E29	Douglas Hodges, "Managing Object Lifetime in OLE," August 25, 1994, www.scit.vlv.ac.uk (41 pages))					
E30	"Java tm Remote Method Innovation Specification," Revision 1.4. JDK 1.1 FCS. February 10, 1997, (page 90)	ge 1-					
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E32	Ciancarini et al., "Coordinating Distributed Applets with Shade/Java," Dipartimento di Scienze dell'Informazione, University of Balogna – Italy, 1998 ACM 0-89791-969-6/98/0002, (pages 130-138)						
E33	Lampson et al., "Authentication in Distrubuted Systems: Theory and Practice," ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, (pages 265-310)						
E34	Koshizuka, et al., "Window Real Objects: A Distibuted Shared Memory for Distributed Implementation GUI Applications," UIST' 93, November 3-5, 1993 (pages 237-247)	of					
E35	Gerhard Steinke, "Design Aspects of Acess Control in a knowledge Base System," Computers & Securi 1991, (pages 612-625)	ity, 10.					
EXAMINER	: DATE CONSIDERED:						
EXAMINER: citation if not i	Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line the in conformance and not considered. Include copy of this form with next communication to the patent	rough owner.					
							

List of Pa For App Disc	PTO-1449 (modified) atents and Publications plicant's Information closure Statement veral sheets if necessary)		T NO: 5181-66200		IAL NO: 09/660,005
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E36	Donal O'Mahony, "Securi 1994, (pages 12-17)	ty Considerations in a n	etwork Management Enviro	oment I	EEE Network, May/June
E37	Ken Arnold, "The Jini tm A June 1999, (7 pages)	rchitecture: Dynamic Se	ervices in a Flexible Netwo	rk," AC	M 1-58113-109-7/99,
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